

1flw



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 9, 2006.


Diane L. Goss

Applicant : Toshitaka Yamato, et al. Confirmation No. 3402
Application No. : 10/616,631
Filed : July 9, 2003
Title : CONTROL SYSTEM FOR ELECTRICAL EQUIPMENT, A SOFTWARE
STRUCTURE FOR GUI PROCESSING, AND A METHOD FOR
PROVIDING A GUI FOR CONTROLLING AN ELECTRICAL
EQUIPMENT GROUP

Grp./Div. : 2174
Examiner : Joshua Pender
Docket No. : 50687/A400

**INFORMATION DISCLOSURE STATEMENT AND
CERTIFICATION UNDER § 1.97(e)(1)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Post Office Box 7068
Pasadena, CA 91109-7068
November 9, 2006

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B with a listing of references that are known to applicant. Copies of each of the listed Foreign Patent and Other Document references are enclosed.

It is respectfully requested that these references be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicant also requests that an initialed copy of said FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

4


Application No. 10/616,631

Applicant's undersigned attorney hereby certifies, in accordance 37 CFR § 1.97(e)(1), that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

The Commissioner is hereby authorized to charge any fees which may be required by this paper to Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 
D. Bruce Prout
Reg. No. 20,958
626/795-9900

DBP/dg

Enclosures: PTO/SB/08A/B w/references

DLG PAS707149.1-* -10/25/06 3:56 PM

FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Attorney Docket Number	50687/A400
	Application Number	10/616,631
	Filing Date	July 9, 2003
	Applicant(s)	Toshitaka Yamato, et al.
	Group Art Unit	2174
	Examiner Name	Joshua Pender

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Partial English translation of "Mastering XML for Java Programmer", JavaWorld, March 2000, pp142-147
		Partial English translation of "XML Parser", XML Magazine, July 2000, pp 100-111.

DLG PAS706945.1-* -10/25/06 3:52 PM

EXAMINER SIGNATURE		DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³ Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached.			

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

NOV 14 2006 (use as many sheets as necessary)

Attorney Docket Number

50687/A400

Application Number

10/616,631

Filing Date

July 9, 2003

Applicant(s)

Toshitaka Yamato, et al.

Group Art Unit

2174

Examiner Name

Joshua Pender

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		2002/0089542 A1	07-11-2002	Imamura

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)
		JP 7-219865	08-18-1995	Kajita	English Abstract
		JP 9-282147	10-31-1997	Iijima et al.	English Abstract
		JP 10-301831	11-13-1998	Okada	English Abstract
		JP 2002-24020	01-25-2002	Imamura	Corresponds to U.S. Publication 2002/0089542 listed above
		WO 98/57478	12-30-1998	Humpleman et al.	

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Partial English translation of "KDE2", Linux Japan, January 2001, pp 14-38
		"SB16/SB32/SBAWE32/SBAWE64 for DOX/V Windows 95 Driver", DOS/V Power Report, May 1, 1997, pp 238-239
		Partial English translation of "Let's update the DRIVER", DOS/V Power Report, April 2000, pp 223-226
		Partial English translation of "Windows", Nikkei Pasokon, February 27, 1995, pp 210-213

EXAMINER SIGNATURE	DATE CONSIDERED
-----------------------	--------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE